

## UNDERSTANDING THE DISEASE



# Ventilator-associated pneumonia caused by multidrug-resistant Gram-negative bacteria: understanding nebulization of aminoglycosides and colistin

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The use of nebulized antibiotics for treating ventilator-associated pneumonia (VAP) caused by multidrug-resistant (MDR) Gram-negative bacteria (GNB) increases worldwide. There is a paradox, however, between the large body of experimental evidence supporting the administration of nebulized rather than intravenous aminoglycosides and colistin to treat inoculation pneumonia caused by GNB [1, 2], and the paucity of clinical studies confirming such a benefit in VAP. Based on the recommendations of the European Society of Clinical Microbiology and Infectious Diseases (ESCMID) [3, 4], the present article examines this apparent contradiction and suggests some directions for further research and clinical practice.

### Why and when to administer nebulized aminoglycosides and colistin in VAP

The main reason for nebulizing aminoglycosides and colistin in VAP is to bypass the alveolar–capillary barrier which offers a severe obstacle to lung penetration following intravenous administration. In comparison to intravenous route, nebulized aminoglycosides and colistin can achieve significantly higher lung tissue concentrations necessary for the effective treatment of VAP due to MDR GNB [1, 2]. For colistin, this is achieved with minimal

systemic toxicity compared to intravenous administration. Demonstration of high lung tissue deposition following nebulization is difficult in humans as epithelial lining fluid concentrations may be in part falsely elevated because of a heavy contamination of the bronchoscope during bronchoalveolar lavage (Fig. 1e–i) [5]. Evidence of high lung tissue concentrations relies on microdialysis [2] or open lung biopsies [1] that can be performed exclusively in experimental studies.

In healthy sheep, high and homogeneously distributed tobramycin pulmonary interstitial concentrations are observed 30 min after nebulization followed by a bi-compartmental decrease, and contrasting with low concentrations after intravenous administration [2]. In pneumonia, high antibiotic tissue concentrations are also observed but are heterogeneously distributed, and likely influenced by the aeration loss [6, 7]. Peak tissue concentrations remain high in non-aerated lung regions, indicating that aminoglycosides and colistin likely diffuse through bronchiolar mucosa towards adjacent consolidated infected alveoli. Both are concentration-dependent antibiotics with peak interstitial concentrations determining bactericidal activity. Systemic diffusion of nebulized aminoglycosides is substantial, increasing when the alveolar–capillary membrane is injured by a microorganism [1]. The peak plasma concentration is observed 1 h following nebulisation, with a subsequent bi-compartmental time-dependent decrease. Trough plasma concentrations, which determine the toxicity risk, are similar to those resulting from the intravenous administration when the nebulized dose is equal to the intravenous dose

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plus extrapulmonary deposition (Fig. 1k). In contrast, systemic diffusion of nebulized colistin is weak even in the presence of extensive VAP (Fig. 1j), protecting against nephrotoxicity [1, 7, 8] and offering the possibility of delivering very high doses by nebulization. Measured colistin plasma concentrations result from the hydrolysis of the nebulized prodrug colistin methanesulfonate [9].

According to available PK data, a benefit of nebulization on cure rate and microbiological eradication can be expected with two classes of antibiotics: aminoglycosides and polymyxins, predominantly used in VAP caused by MDR GNB.

### Inhaled substitution rather than adjunctive aminoglycosides or colistin for VAP caused by MDR GNB

Despite the experimental evidence supporting nebulized antibiotics to treat pneumonia, clinical studies have not shown any mortality benefit when used as adjuvant therapy (nebulized plus intravenous colistin or nebulized aminoglycosides plus intravenous betalactams). However, in VAP caused by MDR GNB, a higher clinical resolution rate was observed with adjuvant therapy [10]. A decrease in the emergence of MDR bacteria was also reported in randomized controlled trials without effect on ventilator-associated pneumonia relapse [11–13].

The ESCMID position paper [4] recommended avoiding the routine use of nebulized antibiotics in VAP, due to a questionable efficacy and the potential for underestimated risks of adverse respiratory events. The panel identified an urgent need for randomized clinical trials of nebulized antibiotic therapy as part of a substitution approach to VAP therapy caused by MDR pathogens. In 2018, the French Society of Anaesthesia and Intensive Care Medicine (FSAICM) and the French Intensive Care Society (FICS) published guidelines regarding

hospital-acquired pneumonia (HAP) in the intensive care unit [11], and recommended nebulized colistin and/or aminoglycosides alone in HAP due to MDR GNB susceptible to colistin and/or aminoglycosides, when no other antibiotics can be used.

When considering experimental pharmacokinetic data, the rationale for adjunctive nebulized therapy appears limited [4, 11]. The combination of nebulized and intravenous aminoglycosides is likely to increase the risk of toxicity. When added to intravenous betalactams, nebulized aminoglycosides do not improve therapeutic efficacy in VAP caused by susceptible GNB, likely because double-antimicrobial therapy is not superior to monotherapy. The addition of nebulized to intravenous colistin increases lung tissue concentrations but not plasma concentrations. Thus, it improves efficacy without increasing systemic toxicity. Compared to adjunctive therapy, substitution therapy markedly reduces colistin plasma concentrations and decreases the risk of toxicity as shown in a recent meta-analysis [10]. This is the reason why the ESCMID position paper recommended to perform future randomized control studies comparing substitution therapy (rather than adjunctive therapy), to intravenous administration [4]. The FSAICM and FICS also recommended the use of substitution rather than adjunctive therapy in VAP caused by MDR GNB susceptible to colistin and/or aminoglycosides [11].

### Optimisation of nebulization to maximize antibiotic lung deposition

Limiting inspiratory flow velocity is required to reduce inertial impaction in the airways and optimize lung deposition [1, 3]. Volume-controlled mode should be preferred to pressure support ventilation [14]. As shown in Fig. 1, it is recommended to select specific ventilator settings during the nebulization, to use specifically designed

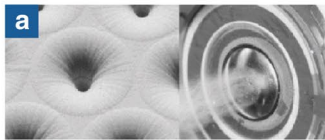
(See figure on next page.)

**Fig. 1** Nebulization of amikacin and colistin: from the nebulizer's chamber to lung deposition and urinary excretion. **a–c** Mesh nebulizers positioned 15 cm from the Y piece, and made of a domed aperture plate with 1000 precision-formed holes which vibrates at 100 kHz. The vibration-induced micro-pumping effect produces a fine particle, low velocity aerosol. The mass median aerodynamic diameter depends on the holes' diameter; **d** specific respirator tubings with smooth angle and inner surface; **e–i** illustrations of tracheobronchial deposition of aerosol particles. Scintigraphic images representing airways and lung deposition of an aerosol of diethylenetriaminepentaacetic acid labeled with technetium-99 m are shown in **e–h**. Images were obtained in four postoperative neurosurgery patients without pulmonary disease ventilated either in volume-controlled ventilation ( $n=2$ , **e** and **f**) or in pressure support ventilation ( $n=2$ , **g** and **h**). A part of the aerosol reached the lung periphery, but the majority impacted proximally in the trachea and large bronchi. Lung deposition was significantly greater in patients on volume-controlled mechanical ventilation; whereas, extrapulmonary deposition was significantly lower. **i** Illustrates the contamination of the bronchoscope during the BAL procedure (the red color indicates high aerosol bronchial concentration). Reproduced from Dugernier et al. [14] and Rouby et al. [5] with the permission of the publishers. **j, k** Illustrate the systemic diffusion of nebulized colistin (**j**) and aminoglycosides (**k**) in patients with ventilator-associated tracheobronchitis and in piglets with inoculation pneumonia. Amikacin plasma concentrations after intravenous administration are represented in blue and in black following nebulization. The nebulized dose was equal to the intravenous dose plus extrapulmonary deposition, so that equivalent amount entered the respiratory system either by the trachea (nebulization) or by the pulmonary artery (intravenous administration). Reproduced from Athanassia et al. [8] and Rouby et al. [1] with the permission of the publishers. *TV* tidal volume, *RF* respiratory frequency, *bpm* breaths per minute, *I/E* inspiratory:expiratory ratio; *PEEP* positive end-expiratory pressure, *BAL* bronchoalveolar lavage; *mIU* million International Units

## Nebulized amikacin and colistin for ventilator-associated pneumonia caused by MDR Gram bacteria

Priority to mesh nebulizers  
and specifically designed circuits

Mass median aerodynamic diameter → 2 - 5  $\mu$   
High lung deposition 20-30% Easy to handle for nurses  
Chamber deposition < 5%



Initial dose inserted into the nebuliser's chamber

Colistimethate 4 m IU diluted in 6 ml x3/24h

Amikacin 40 mg/kg/24h diluted in 6 ml

Mesh nebulizers → chamber residual volume < 10%  
Jet nebulizers → chamber residual volume > 40%

Circuits deposit (inspiratory tubing + Y piece  
+ endotracheal tube) around 30%

Nebulization time ≤ 30 min - Specific ventilator settings to limit inspiratory inertial impaction

Volume control ventilation with constant inspiratory flow,  
no patient's triggering. No asynchrony between the patient  
and the ventilator (propofol if necessary)

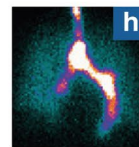
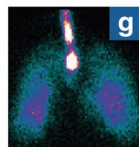
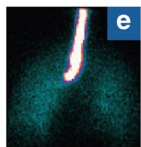
Removal of heat and moisture exchangers, stop of heat humidifier  
and addition of a filter on the expiratory limb

TV 8 ml/kg, RF 12-15 bpm, I/E 1:2, end-inspiratory pause  
20%, PEEP 5-10 cmH<sub>2</sub>O

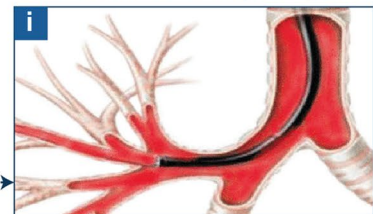
Return to previous settings after nebulization  
**Change the expiratory filter**

Nebulised dose entering the respiratory system

Tracheobronchial deposition



Contamination of the bronchoscope during the BAL



ELF is contaminated during the BAL

Lung dose reaching bronchioles and alveoli

Bronchioles

Alveoli

Exhaled dose  
tracheal suctioning

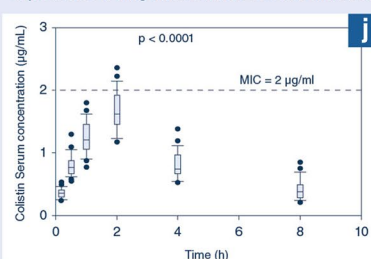
Systemic absorption

Routine dosage of trough plasma  
levels to detect systemic toxicity

Urinary excretion

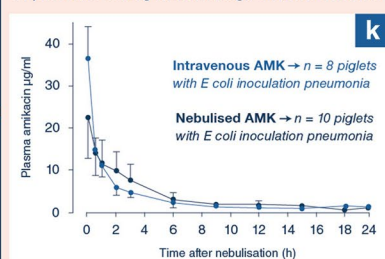
Colistin

in presence of lung infection, low systemic diffusion



Amikacin

in presence of lung infection, high systemic diffusion



tubings, and mesh nebulizers positioned 10–15 cm before the Y piece on the inspiratory limb [3]. Heat and moisture exchanger and heated humidifiers should be removed during the nebulization to avoid hygroscopic growth of the aerosolized particles and a rainout effect in the circuits. Written operating procedures should be implemented to ensure that previous ventilator settings and humidification are resumed at the end of nebulization. The benefit on aerosol delivery far outweighs the additional workload for health care providers.

There is evidence supporting the use of mesh (Fig. 1a and b) rather than jet nebulizers for nebulized antibiotic delivery [1, 3, 11, 15]. In vitro, mesh nebulizers appear superior over jet nebulizers to deliver tobramycin [15]. Aerosol particle size is slightly smaller with jet nebulizers compared to mesh nebulizers, but always remained below five microns, a condition required to reach the distal lung. Lung dose is significantly higher with mesh nebulizers whereas nebulization time and residual volume are significantly reduced. These in vitro benefits were confirmed in animals and in patients treated by salbutamol [16–18].

In conclusion, substitution therapy should be preferred to adjunctive therapy to evidence the therapeutic benefit of nebulized aminoglycosides and colistin in VAP caused by MDR GNB. In addition, mesh nebulizers should be preferred to jet nebulizers to optimize the lung deposition of aerosolized antibiotics.

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#### Author contributions

JJR wrote the first draft of the manuscript. JR and CS-L contributed towards the critical revision of the manuscript for important intellectual content and confirm the integrity of the work. Each member of the European Investigators Network for Nebulized Antibiotics in Ventilator-associated Pneumonia approved the content of the manuscript and contributed to its revision.

#### Compliance with ethical standards

#### Conflicts of interest

JR received grant support from BAYER and served in the advisory board for BAYER and speakers bureau for Norma Helas. OM served as a consultant for SANOFI. SE declares having received consultancies from Aerogen Ltd, La Diffusion Technique Française and Bayer Healthcare, research support from Aerogen Ltd, Fisher & Paykel healthcare, Hamilton medical, travel reimbursements from Aerogen Ltd and Fisher & Paykel. JD and PFL received an unrestricted grant from Aerogen Ltd for their study [14]. JMQ currently received grant from Sino-European Cooperative Clinical Research Project "Evaluation of clinical efficacy and safety of QBW251 in patients with severe bronchiectasis". MB has participated in advisory boards and/or received speaker honoraria from Achaogen, Angelini, Astellas, Bayer, Basilea, Biomerieux, Cidara, Gilead, Menarini, MSD, Nabriva, Paratek, Pfizer, Roche, Melinta, Shionogi, Tetraphase, VenatoRx and Vifor and has received study grants from Angelini, Basilea,



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An approval by an ethics committee was not applicable.

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